

DEC 2000

## Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:  
 My residence, post office address and citizenship are as stated below my name.  
 I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
 (Insert Title) **PROCESS FOR PREPARING DOXORUBICIN**  
 the specification of which is attached hereto unless the following box is checked:

☒ was filed on April 22, 1999 as PCT International Application Number PCT/US99/07016 and was amended on

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International Application having a filing date before that of the application(s) for which priority is claimed:

(List prior foreign applications. See note A on back of this page)

09/065,606

(Number)

US

(Country)

24 April 1998

(Day/Month/Year Filed)

Priority Claimed

☒ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

(See Note B on back of this page)

☐ See attached list for additional prior foreign or provisional applications.

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) (U.S. or PCT) in the manner provided by the first paragraph of 35, U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(List prior U.S. Applications or PCT International applications designating the U.S.)

(Application Serial No.)

(Filing Date)

(Status) (patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status) (patented, pending, abandoned)

And I hereby appoint as principal attorneys: Robert B. Murray, Reg. No. 22,980; David T. Nikaido, Reg. No. 22,663; Charles M. Marmelstein, Reg. No. 25,895; George E. Oram, Jr., Reg. No. 27,931; Douglas H. Goldhush, Reg. No. 33,125; Monica Chin Kitts, Reg. No. 36,105; Richard J. Berman, Reg. No. 39,107; King L. Wong, Reg. No. 37,500; James A. Poulos, III, Reg. No. 31,714; Murat Ozgu, Reg. No. 44,275; Bradley D. Goldizen, Reg. No. 43,637; N. Alexander Nolte, Reg. No. 45,689; Robert K. Carpenter, Reg. No. 34,794; Gregory B. Kang, Reg. No. 45,273; Rustan J. Hill, Reg. No. 37,351; Rhonda L. Barton, Reg. No. 47,271; Carl Schaukovich, Reg. No. 29,211; and Kevin F. Turner, Reg. No. 43,437.

Please direct all communications to the following address: Arent Fox Kintner Plotkin & Kahn PLLC  
1050 Connecticut Avenue, N.W., Suite 600  
Washington, D.C. 20036-5339  
Telephone (202) 557-6000; Telefax (202) 857-6395

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See Note C on back of this page)

Full name of sole or first inventor: Augusto Inventi SOLARI

Inventor's signature: Augusto Inventi Solari

October 18, 2000

Residence: Via Cascina Bianca, 17/2, I-20142 Milan, Italy

Date

Citizenship: Italy

Post Office Address: Same as above

20 Full name of second joint inventor, if any: Giovanna ZANUSO  
Inventor's signature Giovanna Zanuso ITX  
Residence: Via Zoja Luigi, 5, I-20100 Milan, Italy  
Citizenship: Italy  
Post Office Address: Same as above  
October 18, 2000  
Date

30 Full name of third joint inventor, if any: Silvia FILIPPINI  
Inventor's signature Silvia Filippini ITX  
Residence: Via Elba, 30, I-20144 Milan, Italy  
Citizenship: Italy  
Post Office Address: Same as above  
October 18, 2000  
Date

40 Full name of fourth joint inventor, if any: Francesco TORTI  
Inventor's signature Francesco Torti ITX  
Residence: Corso Garibaldi, 70, I-20121 Milan, Italy  
Citizenship: Italy  
Post Office Address: Same as above  
October 18, 2000  
Date

50 Full name of fifth joint inventor, if any: Sharee OTTEN  
Inventor's signature \_\_\_\_\_  
Residence: 5706 Nutone Street, Madison, WI 53711, U.S.A.  
Citizenship: America USA  
Post Office Address: Same as above  
Date

60 Full name of sixth joint inventor, if any: Anna Luisa COLOMBO  
Inventor's signature Anna Luisa Colombo ITX  
Residence: Via Elba, 14, I-20144 Milan, Italy  
Citizenship: Italy  
Post Office Address: Same as above  
October 18, 2000  
Date

70 Full name of seventh joint inventor, if any: Charles R. HUTCHINSON  
Inventor's signature \_\_\_\_\_  
Residence: 4293 South Deer Run Court, Cross Plains, WI 53528, U.S.A.  
Citizenship: America  
Post Office Address: Same as above  
Date

005027 1922560

**Declaration For U.S. Patent Application**

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(Insert Title) **PROCESS FOR PREPARING DOXORUBICIN**

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(List prior foreign applications. See note A on back of this page)	<u>09/065,606</u>	<u>US</u>	<u>24 April 1998</u>	Priority Claimed
	(Number)	(Country)	(Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	<u>                    </u>	<u>                    </u>	<u>                    </u>	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
	<u>                    </u>	<u>                    </u>	<u>                    </u>	<input type="checkbox"/> Yes <input type="checkbox"/> No
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<u>                                    </u>	<u>                                    </u>
(Application Number)	(Filing Date)
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(List prior U.S. Applications or PCT International applications designating the U.S.)	<u>                                    </u>	<u>                                    </u>	<u>                                    </u>
	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
	<u>                                    </u>	<u>                                    </u>	<u>                                    </u>
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And I hereby appoint as principal attorneys: Robert B. Murray, Reg. No. 22,980; David T. Nikaido, Reg. No. 22,663; Charles M. Marmelstein, Reg. No. 25,895; George E. Oram, Jr., Reg. No. 27,931; Douglas H. Goldhush, Reg. No. 33,125; Monica Chin Kitts, Reg. No. 36,105; Richard J. Berman, Reg. No. 39,107; King L. Wong, Reg. No. 37,500; James A. Poulos, III, Reg. No. 31,714; Murat Ozgu, Reg. No. 44,275; Bradley D. Goldizen, Reg. No. 43,637; N. Alexander Nolte, Reg. No. 45,689; Robert K. Carpenter, Reg. No. 34,794; Gregory B. Kang, Reg. No. 45,273; Rustan J. Hill, Reg. No. 37,351; Rhonda L. Barton, Reg. No. P47,271; Carl Schaukowiitch, Reg. No. 29,211; and Kevin F. Turner, Reg. No. 43,437.

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(See Note C on back of this page)

Full name of sole or first inventor: Augusto Inventi SOLARI

Inventor's signature \_\_\_\_\_

\_\_\_\_\_ Date

Residence: Via Cascina Bianca, 17/2, I-20142 Milan, Italy

Citizenship: Italy

Post Office Address: Same as above

Full name of second joint inventor, if any: Giovanna ZANUSO

Inventor's signature \_\_\_\_\_

\_\_\_\_\_  
Date

Residence: Via Zoja Luigi, 5, I-20100 Milan, Italy

Citizenship: Italy

Post Office Address: Same as above

Full name of third joint inventor, if any: Silvia FILIPPINI

Inventor's signature \_\_\_\_\_

\_\_\_\_\_  
Date

Residence: Via Elba, 30, I-20144 Milan, Italy

Citizenship: Italy

Post Office Address: Same as above

Full name of fourth joint inventor, if any: Francesca TORTI

Inventor's signature \_\_\_\_\_

\_\_\_\_\_  
Date

Residence: Corso Garibaldi, 70, I-20121 Milan, Italy

Citizenship: Italy

Post Office Address: Same as above

Full name of fifth joint inventor, if any: Sharee OTTEN

Inventor's signature Sharee Otten

11/16/00  
Date

Residence: 5706 Nutone Street, Madison, WI 53711, U.S.A. USA

Citizenship: America

Post Office Address: Same as above

Full name of sixth joint inventor, if any: Anna Luisa COLOMBO

Inventor's signature \_\_\_\_\_

\_\_\_\_\_  
Date

Residence: Via Elba, 14, I-20144 Milan, Italy

Citizenship: Italy

Post Office Address: Same as above

Full name of seventh joint inventor, if any: ~~Charles R. HUTCHINSON~~

Inventor's signature CR Hutchinson

11/17/00  
Date

Residence: 4293 South Deer Run Court, Cross Plains, WI 53528, U.S.A. USA

Citizenship: America

Post Office Address: Same as above

SECRET